# UNITED STATES DISTRICT COURT NORTHERN DISTRICT OF ILLINOIS EASTERN DIVISION

ANDREW CORZO, SIA HENRY, ALEXANDER LEO-GUERRA, MICHAEL MAERLENDER, BRANDON PIYEVSKY, BENJAMIN SHUMATE, BRITTANY TATIANA WEAVER, and CAMERON WILLIAMS, individually and on behalf of all others similarly situated,

Plaintiffs,

v.

BROWN UNIVERSITY, CALIFORNIA
INSTITUTE OF TECHNOLOGY, UNIVERSITY
OF CHICAGO, THE TRUSTEES OF COLUMBIA
UNIVERSITY IN THE CITY OF NEW YORK,
CORNELL UNIVERSITY, TRUSTEES OF
DARTMOUTH COLLEGE, DUKE UNIVERSITY,
EMORY UNIVERSITY, GEORGETOWN
UNIVERSITY, THE JOHNS HOPKINS
UNIVERSITY, MASSACHUSETTS INSTITUTE
OF TECHNOLOGY, NORTHWESTERN
UNIVERSITY, UNIVERSITY OF NOTRE DAME
DU LAC, THE TRUSTEES OF THE UNIVERSITY
OF PENNSYLVANIA, WILLIAM MARSH RICE
UNIVERSITY, VANDERBILT UNIVERSITY, and
YALE UNIVERSITY,

Defendants.

Civil Action No. 1:22-cv-00125

Hon. Matthew F. Kennelly

# CERTAIN DEFENDANTS' MOTION TO FILE UNDER SEAL CERTAIN EXHIBITS IN SUPPORT OF THEIR OPPOSITION TO PLAINTIFFS' MOTION TO COMPEL

Pursuant to the Confidentiality Order in this case (ECF No. 254) and Local Rule 26.2, Defendants Cornell University, Trustees of Dartmouth College, Georgetown University, Northwestern University, University of Notre Dame du Lac, The Trustees of the University of Pennsylvania, William Marsh Rice University, and Vanderbilt University (collectively, "Defendants") respectfully request that the Court grant this Motion to File Under Seal Exhibits A, B, C, D, E, F, G, and H to their Opposition to Plaintiffs' Motion to Compel. ECF Nos. 451-2 to

451-9. In support of this Motion, Defendants state as follows:

## **BACKGROUND**

- 1. On October 26, 2022, the Court entered an Order (ECF No. 231) acknowledging that good cause existed for the entry of a Confidentiality Order providing for the redaction of Personally Identifiable Information ("PII") under 20 U.S.C. §§ 1232g(a)(4)(A) and 1232g(b)(1) of the Family Educational Rights and Privacy Act ("FERPA"). This Confidentiality Order "allow[ed] Defendants to safeguard the continuing confidentiality of Education Records that may be produced in this action." ECF No. 231 at 2.
- 2. On November 22, 2022, the Court entered the final Agreed Confidentiality Order (ECF No. 254) outlining what materials may be designated as containing Confidential Information and how those materials must be treated. Under the Confidentiality Order, a party may designate documents as "Confidential" or "Attorneys' Eyes Only." Id. ¶¶ 2(a)-(b). Documents may be designated "Confidential" where they contain "(a) information prohibited from disclosure by statute; (b) information that reveals trade secrets; (c) research, technical, competitive, commercial, insurance or financial information that the party has maintained as confidential; (d) medical information concerning any individual; (e) personal identity information; (f) income tax returns (including attached schedules and forms), W-2 forms and 1099 forms; (g) personnel or employment records of a person; or (h) any document protected under the Family Educational Rights and Privacy Act ("FERPA"), including the application, financial aid, or educational records of any applicant, student, or former student." Id.  $\P 2(a)$ . Documents may be designated as "Attorneys' Eyes Only" where they contain "highly sensitive confidential information and disclosure to another party or third party would result in specific demonstrable harm" to the disclosing party. Id.  $\P 2(b)$ . This designation was intended to strictly limit access to such

documents to a small universe of individuals, including the Court, counsel, and contractors, consultants, and court reporters who require access. *Id.*  $\P$  6(c).

- 3. On September 25, 2023, Plaintiffs filed a Motion to Compel Defendants to Provide FERPA Notices Pursuant to This Court's Order Regarding FERPA and the Production of Certain Document and Information ("Plaintiffs' Motion") (ECF No. 443).
- 4. On October 4, 2023, Defendants filed their Opposition to Plaintiffs' Motion to Compel. ECF No. 451. Exhibits A, B, C, D, E, F, G, and H to Defendants' Opposition contain the names of students and their parents and/or grandparents, which are PII protected under FERPA. ECF Nos. 451-2 to 451-9. Exhibits A, B, F, G, and H also contain information describing the parents and/or grandparents. Defendants accompanied their Opposition with a motion to seal, *see* ECF No. 452, which Defendants now withdraw and replace with this Motion, in light of the Court's statements on the record during the October 5, 2023 hearing. Oct. 5, 2023 Hr'g Tr. at 22:24-24:18.

#### **LEGAL STANDARD**

5. The Seventh Circuit has long recognized that "[i]nformation that affects the disposition of litigation belongs in the public record *unless* a statute or privilege justifies nondisclosure," and documents containing confidential information may be sealed. *United States* v. Foster, 564 F.3d 852, 853 (7th Cir. 2009) (emphasis added). A court may enter an order directing a document or portion of a document be shielded from public disclosure upon a showing of "good cause." See, e.g., Bond v. Utreras, 585 F.3d 1061, 1074 (7th Cir. 2009).

#### **DISCUSSION**

6. "[A] court may for good cause shown enter an order directing that one or more documents be filed under seal." Loc. R. 26.2(b). Defendants move to place Exhibits A, B, C, D,

E, F, G, and H to their Opposition to Plaintiffs' Motion under seal as they implicate students' Education Records that Defendants are obligated under FERPA to protect from public disclosure. 20 U.S.C. § 1232g(b)(2) (finding a lack of compliance under FERPA where an institution has a "practice of releasing, or providing access to, any personally identifiable information in education records"). Exhibits A, B, C, D, E, F, G, and H contain the names of students and their parents and/or grandparents. Exhibits A, B, F, G, and H also contain information describing the parents and/or grandparents. See 34 C.F.R. § 99.3 (providing that, under FERPA, PII "includes, but is not limited to . . . . [o]ther information that, alone or in combination, is linked or linkable to a specific student that would allow a reasonable person in the school community, who does not have personal knowledge of the relevant circumstances, to identify the student with reasonable certainty"). Defendants have not made the sensitive information contained within Exhibits A, B, C, D, E, F, G, and H to the Opposition publicly available, and this information should remain under seal so as to protect the privacy interests of the students and families encompassed by FERPA, which the Court provided for in its FERPA Order and Confidentiality Order. ECF No. 231; ECF No. 254.

7. Defendants previously filed under seal the entirety of their Opposition, and the Declaration of David Gringer in Support of their Opposition and all the exhibits cited therein, in compliance with Local Rule 26.2. ECF No. 451; ECF Nos. 451-1 to 451-12. As required by Local Rule 26.2(c), Defendants will now file a "public-record" version of their Opposition to Plaintiffs' Motion, the Declaration of David Gringer, and the cited exhibits, with Exhibits A, B, C, D, E, F, G, and H under seal.

# **CONCLUSION**

For the foregoing reasons, Defendants respectfully request that the Court grant this Motion to Seal and enter an order permitting Defendants to file sealed versions of Exhibits A, B, C, D, E,

F, G, and H to their Opposition, and to file a "public-record" version of their Opposition to Plaintiffs' Motion, the Declaration of David Gringer, and exhibits with Exhibits A, B, C, D, E, F, G, and H redacted.

Dated: October 12, 2023

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### **CERTIFICATE OF SERVICE**

I hereby certify that on October 12, 2023, the foregoing document was served on all counsel of record by email or using the CM/ECF system, which will send notice of this filing to all parties, as set forth below:

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